# Pmax-style extension for basis problem of uncountable linear order

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> March 23, 2019 CTFM 2019

## Basis problem for uncountable linear order

The basis problem for a certain class of mathematical structure focus on isolating the key structures and reducing the study of the whole structure to these key objects.

An analysis of a given class S of structures in this area frequently splits into two natural parts One part consists in recognizing the critical members of S while the other is in showing that a given list of critical members is in some sense complete.  $\cdots$  To show that a given list S<sub>0</sub> of critical objects is exhaustive one needs to relate a given structure from S to one from the list S<sub>0</sub>. (Stevo Todorcevic, ICM 1998)

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Some example of basis problems:

- (Day-Von Neumann) Does every non-amenable group contains *F*<sub>2</sub> as subgroup?
- (Subspace of Banach space) Does every Banach space contains *l<sub>p</sub>* or *c*<sub>0</sub> as subspace?
- (Monster group) Classify the family of simple groups.
- (Maharams problem) If a submeasure is exhaustive, is it absolutely continuous with respect to a measure?

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Basis problems in set theory:

- (Subspace of regular spaces) Can the class of uncountable regular spaces has a 3-element basis consisting of  $D(\omega_1)$ , X and  $X \times \{0\}$  where X is some uncountable subset of the unit interval and where  $X \times \{0\}$  is considered as a subspace of the split-interval.
- (Basis of uncountable linear ordering) The class of all uncountable linear orderings has a 5-element basis X, ω<sub>1</sub>, ω<sub>1</sub><sup>\*</sup>, C, C<sup>\*</sup> where X is some uncountable set of reals and where C is a countryman line.

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Some examples of uncountable linear orders:

- uncountable subsets of real line(uncountable separable suborders)
- ω<sub>1</sub>
- $\omega_1^*$
- Suslin line

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Notation: Those uncountable linear orders which do not contain uncountable separable suborders or copies of  $\omega_1$  or  $\omega_1^*$  are called Aronszajn lines. Suslin line is a Aronszajn line. The existence of Suslin line is independent of ZFC. However, Shelah is able to construct a special type of Aronszajn line under ZFC.

#### Theorem (Shelah)

There is a Countryman line.

Here a linear order (X, <) is called Countryman if  $X^2$  (viewed as a partial order) can be decomposed as  $\omega$  many chain.

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## Minimal size of basis for linear order

Theorem (Baumgarterner)

Under PFA, all  $\omega_1$ -dense suborder of real lines are isomorphic.

### Theorem (Moore)

Under PFA, for any Countryman line C, all Aronszajn line contains C or  $C^*$ .

In conclusion, under PFA, there is a 5-element basis of uncountable linear order. To the contrast, the minimal size of basis could also be huge.

#### Theorem (Sierpinski)

Under CH, there is no basis for the uncountable separable linear orders of cardinality less than  $2^{\omega_1}$ .

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## Manipulating the size of basis of Countryman line

## Theorem (Peng)

For any  $n \leq \omega_1$ , it is consistent that there is a 2<sup>n</sup>-element basis for Countryman line.

How about Aronszajn line? The main obstacle is as follows: Peng's construction involves a special subclass of proper forcing poset  $\Gamma$ . However,

- It is unclear whether the poset used in Moore's proof are also in  $\Gamma$ .
- PFA requires countable support iteration, while it is unknown whether  $\Gamma$  is preserved under countable support iteration.

Peng's construction uses Aspero-Mota iteration, which only allows a relatively small subclass of poset.

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 $\mathbb{P}_{max}$  is a forcing poset defined by Woodin in presence of determinacy assumption. A key feature of  $\mathbb{P}_{max}$  theory is generic maximality. It captures the maximal  $\Pi_2$ -theory of  $\langle H(\omega_2), \in, NS_{\omega_1} \rangle$  in the following sense. In a  $P_{max}$  extension V, for any  $\Pi_2$  sentence  $\psi$ , if in some generic extension V[G],

$$\langle H(\omega_2), \in, NS_{\omega_1} \rangle^{V[G]} \models \psi,$$

then in V, already we have

$$\langle H(\omega_2), \in, NS_{\omega_1} \rangle \models \psi.$$

As a consequence,  $\mathbb{P}_{\max}$  forced that there is a 5-element basis of uncountable linear order.

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Woodin introduced a machinery for constructing variant of original  $\mathbb{P}_{max}$  forcing. Following are some variant of  $\mathbb{P}_{max}$ :

- $\mathbb{P}_{max}$  for  $\omega_1$ -density of  $NS_{\omega_1}$ .
- $\mathbb{S}_{max}$  for a Suslin tree.
- $\mathbb{P}^{\clubsuit}$  for the  $\clubsuit$  principle.

For a  $\Sigma_2$  sentence  $\psi$ , if a variant  $\mathbb{P}_{max}^{\psi}$  can be defined, then there is a  $\Pi^2$ -maximality theory for  $\langle H(\omega_2), \in, NS_{\omega_1} \rangle$  conditional on  $\psi$ .

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During the construction, we will fix a tree  $T \subset 2^{<\omega_1}$  and disjoint subsets  $(X_i \mid i < n)$  of  $\omega_1$  with some prescribed nice properties. Let

$$P_{X_i} = \{ p \in [T]^{<\omega} \mid \Delta(p) = \{ \Delta(s,t) \mid s \perp t \text{ in } p \} \subset X_i \}$$

ordered by inclusion.  $P_{X_i}$  is proper.

 $\Gamma$ : all poset Q such that for all i,  $Q \times P_{X_i}$  is proper.

Meanwhile, no good iteration theory for  $\Gamma$  is known. In particular, it is unknown how to force  $BPFA_{\Gamma}$  using iterated forcing. On the other hand, the  $\mathbb{P}_{max}$  machinery relies on iterated ultrapower rather than iterated forcing.

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## $\mathbb{P}_{max}$ variant for $\Gamma$

Following Woodin's generalized version of  $\mathbb{P}_{max}$  forcing, we define the variant  $\mathbb{P}_{max}^{\Gamma}$  as follows: The partial order  $\mathbb{P}_{max}^{\Gamma}$  consists of all pairs  $\langle (M, I), t, x, K \rangle$  such that

- *M* is a countable transitive model of  $ZFC^-$ ,
- **2**  $I \in M$  and in M, I is a normal ideal on  $\omega_1$ ,
- (M, I) is iterable,
- M think t is a subtree of 2<sup><ω1</sup> and x = (x<sub>i</sub> | i < n) is a sequence of subset of ω<sub>1</sub>, t and x has some prescribed nice properties, in particular, if we define P<sub>x</sub> in M, then P<sub>x</sub> is proper.
- **3**  $K \in M$  and K is a set of pairs  $(\langle (N, J), b, y, E \rangle, j)$  such that
  - $\langle (N,J), b, y, E \rangle \in \mathbb{P}_{max}^{\Gamma} \cap H(\omega_1)^M$ ,
  - *j* is an iteration of (N, J) of length  $\omega_1^M$  such that  $j(J) = I \cap j(N)$  and j(b) = t, j(y) = x•  $j(E) \subset K$ ,

with the property that for each  $p \in \mathbb{P}_{max}^{\Gamma}$  there is at most one j such that  $(p, j) \in X$ .

Say  $\langle (M', I'), t', x', K' \rangle < \langle (M, I), t, x, K \rangle$  if there exists a j such that  $(\langle (M, I), t, x, K \rangle, j) \in K'$ .

#### Proposition

 $\mathbb{P}_{max}^{\Gamma}$  forces the following:

- $NS_{\omega_1}$  is saturated, or  $Sat(NS_{\omega_1})$  holds.
- All  $\omega_1$ -dense subset of reals are isomorphic.
- There is a 2<sup>n</sup> size basis for countryman line.
- If ground model satisfies  $V = L(P(\mathbb{R})) + AD_{\mathbb{R}}$ , then  $BPFA_{\Gamma}$  holds.

Konig-Moore-Velickovic shows that  $Sat(NS_{\omega_1}) + BPFA$  implies every Aronzajn line contains a Countryman line. By analysing the forcing poset used in their proof,  $Sat(NS_{\omega_1}) + BPFA_{\Gamma}$  also works for the purpose. Putting these together, we have

#### Theorem

Assuming  $V = L(P(\mathbb{R})) + AD_{\mathbb{R}}$ ,  $\mathbb{P}_{max}^{\Gamma}$  forces the minimal size of basis for uncountable linear order is  $2^n + 3$ .

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As a natural question, we are curious about basis of arbitrary size.

## Question For any fixed $n \ge 5$ , is it consistent that the minimal size of basis for uncountable linear order is n.

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